

## A Scott Conjecture for Hyperbolic Groups

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For a given automorphism  $\phi$  of a group  $G$  define  $Fix(\phi) = \{g \in G : \phi(g) = g\}$ . A subgroup  $H$  of  $G$  is a *fixed subgroup* if there is an automorphism  $\phi$  of  $G$  with  $H = Fix(\phi)$ . Our main result is the following:

**Theorem:** *A torsion free hyperbolic group contains, up to isomorphism, only finitely many fixed subgroups.*

The Scott Conjecture, which was proven by M. Bestvina and M. Handel [BH], states that the rank of fixed subgroups in a free group of rank  $n$ ,  $F_n$ , are at most  $n$ . In particular,  $F_n$  contains, up to isomorphism, only finitely many fixed subgroups. Our result may be viewed as an extension of this last statement to the class of torsion free hyperbolic groups. Fixed subgroups of hyperbolic groups have been investigated by Paulin [P1] and Neumann [N]. The first shows that fixed subgroups are always finitely generated and the second shows they are always rational, which implies hyperbolicity.

In [S], Sela reproves the Scott Conjecture. It is his proof on which ours is based. Using work of Paulin [P2], Sela is able to isolate the subgroup where an automorphism is periodic. To be exact, he shows that an automorphism of a free group is periodic on a vertex group in a graph of groups decomposition with trivial or infinite cyclic edge groups. He also establishes this result for freely indecomposable torsion free hyperbolic groups, and this is our starting point.

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## SECTION 1

In this section we collect some definitions and recall some results that will be needed in the course of our proof. We assume the reader is familiar with the notation and terminology of the Bass-Serre theory of group actions on trees, as well as the JSJ decomposition of a torsion free, freely indecomposable hyperbolic group.

**Definition 1.1:** A group  $G$  is *freely indecomposable* if  $G = A * B$  implies  $A$  or  $B$  is trivial.

**Definition 1.2:** Recall that JSJ decomposition is a graph of groups presentation of a group. Call a tree  $T$  associated to a JSJ decomposition of  $G$  a *JSJ tree* for  $G$ .

**Definition 1.3:** Suppose  $G$  acts by isometries on a simplicial tree  $T$ . Let  $Aut(G)$  denote the automorphism group of  $G$ . Denote by  $Aut(G, T)$  the subgroup of  $Aut(G)$  consisting of all automorphisms  $\phi$  such that whenever  $H \subset G$  stabilizes a point of  $T$  so does  $\phi(H)$ .

If we have a  $G$  tree  $T$  with infinite cyclic edge stabilizers and it was not the case that  $Aut(G) = Aut(G, T)$  we could then let  $G$  act on  $T$  via some automorphism not in  $Aut(G, T)$ . We then would get a new splitting of  $G$  over infinite cyclic groups. This can't be the case, however, if  $T$  is a JSJ tree for  $G$ . Hence we have:

**Proposition 1.4:** *If  $G$  is a freely indecomposable group and  $T$  a JSJ tree for  $G$  then  $Aut(G) = Aut(G, T)$ .*

We will also need a result of [Gu] which characterizes the Bass-Serre splittings of a hyperbolic group  $G$  over infinite cyclic edge groups.

**Definition 1.5:** Let  $G$  be a freely indecomposable group. Call  $H \subset G$  a *Z-factor* if  $H$  is the stabilizer of a point in some  $G$  tree for which has infinite cyclic edge stabilizers.

**Definition 1.6:** Suppose a group  $G$  has a graph of groups decomposition with associated tree  $T$ . Choose an edge in the underlying graph and collapse all of its lifts in  $T$  to a point. The result is a new  $G$  tree  $T'$ . This produce a new graph of groups decomposition for  $G$ . If we perform a sequence of these moves on  $T$  we say we are *blowing down*  $T$ . Call the reverse procedure *blowing up*  $T$ . In this paper *blowing up* will always consist of new infinite cyclic splittings realized by simple closed curves on the surfaces underlying quadratically hanging subgroups.

**Definition 1.7:** Suppose  $G$  acts on a Bass-Serre tree  $T$ . If  $H$  is a finitely generated

subgroup of  $G$  denote by  $T_H$  the minimal subtree for  $H$  in  $T$ . Also, if  $e \subset T$  is a subset of  $T$  denote by  $Stab(e)$  the subgroup of  $G$  which fixes  $e$  point wise.

**Theorem 1.8** [Gu]: *Suppose  $H$  is a  $\mathbb{Z}$ -factor of  $G$  with  $S$  its associated  $G$  tree. There is a JSJ tree  $T$  for  $G$  which can be blown up and then blown down into  $S$ .*

**Definition 1.10:** Let  $Per(\phi) = \{g \in G : \phi^i(g) = g \text{ for some integer } i\}$ , the subgroup of  $G$  where  $\phi$  is periodic.

The next fact we will need is a restatement of a theorem of Sela. His Theorem 3.2 [S] along with the observations of how  $Per(\phi)$  must act on his limit trees (in Theorem 4.1 of the same paper) give us the following fact:

**Theorem 1.11** [S]: *Suppose  $G$  is a torsion free, freely indecomposable hyperbolic group. Either  $Fix(\phi)$  is trivial, infinite cyclic or  $Per(\phi)$  is a  $\mathbb{Z}$ -factor of  $G$ .*

**Definition 1.12:** Recall  $Out(G) = Aut(G)/Inn(G)$  where  $Inn(G)$  are the inner automorphisms of  $G$ . Also if  $H \subset G$  denote by  $Aut_G(H)$  the automorphisms of  $H$  which extend to automorphisms of  $G$ .

The following fact is crucial for us and is indeed the reason we are 'better off' once we have 'reduced' our question to a question about the JSJ vertex groups.

**Proposition 1.13** [P] [S]: Let  $G$  be a freely indecomposable hyperbolic group and  $V$  a subgroup of  $G$  which stabilizes some point in a JSJ tree of  $G$ . Also suppose  $V$  is not a quadratically hanging subgroup. It is then the case that  $Aut_G(V)$  has finite image in  $Out(V)$ .

## SECTION 2

We prove our main theorem assuming three lemmas. The proofs of the three lemmas are contained in the remaining sections.

**Theorem:** *A torsion free hyperbolic group  $G$  contains, up to isomorphism, only finitely many fixed subgroups.*

**Lemma 1:** *It is enough to prove our main theorem in the case that  $G$  is freely indecomposable.*

**Lemma 2:** *Suppose  $G$  is a freely indecomposable torsion free hyperbolic group and  $\phi \in \text{Aut}(G)$ . Either  $\text{Fix}(\phi)$  is trivial, infinite cyclic or  $\text{Fix}(\phi)$  receives a graph of groups presentation  $\mathcal{G}$  with the following properties:*

- (i) *Edge groups are trivial or infinite cyclic.*
- (ii) *There is a bound  $k$ , depending only on  $G$  so that the underlying graph of  $\mathcal{G}$  has at most  $k$  edges.*
- (iii) *Vertex groups are trivial, infinite cyclic or the fixed subgroup of  $\phi$  restricted to a vertex stabilizer in some JSJ tree for  $G$ .*

**Definition 2.1:** Let  $L_1$  and  $L_2$  be finite collections of conjugacy classes in groups  $H_1$  and  $H_2$ . Say  $(H_1, L_1)$  is equivalent to  $(H_2, L_2)$ , written  $(H_1, L_1) \sim (H_2, L_2)$ , if there is an isomorphism from  $H_1$  to  $H_2$  which maps the conjugacy classes in  $L_1$  bijectively to  $L_2$ . This is seen to be an equivalence relation.

**Definition 2.2:** Suppose  $G$  is a freely indecomposable torsion free hyperbolic group. Let  $\mathcal{U}$  be the set of all graph of groups presentations, over all  $\phi \in \text{Aut}(G)$ , that  $\text{Fix}(\phi)$  receives (as in lemma 2), for which  $\text{Fix}(\phi)$  is not trivial or infinite cyclic. Let  $\mathcal{V}$  be the set of pairs  $(H, L)$ , where  $H$  is a vertex stabilizer in a tree associated to some graph of groups presentation in  $\mathcal{U}$ , and  $L$  is the conjugacy classes (in  $H$ ) of all edge groups incident to  $H$ .

**Lemma 3:** *Suppose  $G$  is a torsion free, freely indecomposable hyperbolic group. It is the case that  $|\mathcal{V}/\sim|$  is finite.*

**Proof of Theorem:** By Lemma 1 we may assume  $G$  to be freely indecomposable. By Lemma 2, for each  $\phi \in \text{Aut}(G)$ ,  $\text{Fix}(\phi)$  receives a graph of groups presentation with at most  $k$  edges. This implies that, over all  $\phi \in \text{Aut}(G)$  with fix subgroup not trivial or infinite cyclic, there are only finitely many different underlying graphs in these graph of group presentations.

It is enough to show our claim over each possible underlying graph. By Lemma 2 (i) and Lemma 3 we know there are only 2 possible edge groups and finitely many different possible vertex groups. Hence given an underlying graph there are only a finite number of ways of assigning edge and vertex groups. We are done if we show that only finitely many fundamental groups occur from a specific way of adorning our graph, where the freedom comes from different possible edge group monomorphisms. We now show that with Lemma 3 this is indeed the case.

Choose a vertex  $v$  along with its incident edges  $e_1, e_2, \dots, e_n$  in our graph  $K$ . Suppose  $H$  is associated to  $v$  and an infinite cyclic group is associated to each of  $e_1, e_2, \dots, e_i$ . By Lemma 3 there are only finitely many ways, up to isomorphism of  $H$  and choice of conjugacy class, of picking the images of the bonding maps for the edge groups. Further this is the case for each vertex of  $K$ .

Hence we may assume it is enough to show our claim in the case that we have a) one underlying graph, b) one way of adorning it with edge and vertex groups, and c) that at each vertex there is one way (up to isomorphism of the vertex group and conjugacy class for the edge group in the vertex group) of choosing our edge group monomorphisms for the edges which have the infinite cyclic group associated to them. Given two graphs of groups decompositions which satisfy a)-c) we can easily construct an isomorphism between there respective fundamental groups. We do this by extending the obvious graph bijection to isomorphisms of the associated edge and vertex groups. ♠

### SECTION 3

In this section we prove the following lemma:

**Lemma 1:** *It is enough to prove our main theorem in the case that  $G$  is freely indecomposable.*

In [CT], Collins and Turner prove a free decomposition version of the Scott Conjecture. It is their result which will allow us to reduce to the freely indecomposable case.

Let  $G$  be a group with  $G = F_r * \left( \begin{smallmatrix} t \\ * \\ i=1 \end{smallmatrix} G_i \right)$ , where each  $G_i$  is a non free, freely indecomposable group and  $F_r$  is the free group on  $r$  elements. The Kuros subgroup theorem states that if  $H$  is a subgroup of  $G$  then  $H = F_s * \left( \begin{smallmatrix} u \\ * \\ i=1 \end{smallmatrix} H_i \right)$ , where the  $H_i$  are the intersections of  $H$  with conjugates of the factor groups  $G_i$ ,  $F_s$  is free with rank  $s$ , and  $F_s$  does not intersect any conjugate of any  $G_i$ . By [BL] the following definition is well defined.

**Definition 3.1:** Suppose  $H \subset G$  and  $G = F_r * \left( \begin{smallmatrix} t \\ * \\ i=1 \end{smallmatrix} G_i \right)$  where each  $G_i$  is a non free, freely indecomposable group and  $F_r$  is the free group on  $r$  elements. Also suppose  $H = F_s * \left( \begin{smallmatrix} u \\ * \\ i=1 \end{smallmatrix} H_i \right)$ , where the  $H_i$  are the intersections of  $H$  with conjugates of the factor groups  $G_i$ ,  $F_s$  is free with rank  $s$ , and  $F_s$  does not intersect any conjugate of any  $G_i$ . Define  $s+u$  to be the *Kuros subgroup rank* of  $H$  in  $G$  denoted by  $KRk(G, H)$ . We also say  $KRk(G, G) = r + t$

One of the main results of [CT] is the following:

**Theorem 3.2** [CT] For any  $\phi \in \text{Aut}(G)$

$$KRk(G, \text{Fix}(\phi)) \leq KRk(G, G).$$

**Proof of Lemma 1:** Suppose  $G$  is a torsion free hyperbolic group. Also suppose  $G = F_r * \left( \begin{smallmatrix} t \\ * \\ i=1 \end{smallmatrix} G_i \right)$  where each  $G_i$  is a non free, freely indecomposable group and  $F_r$  is the free group on  $r$ . By Kuros  $\text{Fix}(\phi) = F_s * \left( \begin{smallmatrix} u \\ * \\ i=1 \end{smallmatrix} S_i \right)$  where where the  $S_i$  are the intersections of  $\text{Fix}(\phi)$  with conjugates of the factor groups  $G_i$ ,  $F_s$  is free with rank  $s$ , and  $F_s$  does not intersect any conjugate of any  $G_i$ . If  $\text{Fix}(\phi)$  intersects some freely indecomposable factor of  $G$  then  $\phi$  must restrict to an automorphism of that factor. Hence, assuming our main theorem is true for freely indecomposable torsion free hyperbolic groups, there are only finitely many different possibilities for the  $S_i$ .

By Theorem 3.1  $s+u \leq r+t$  and there are only finitely many possibilities for  $Fix(\phi)$ .



## SECTION 4

In this chapter we prove the following lemma:

**Lemma 2:** *Suppose  $G$  is a freely indecomposable torsion free hyperbolic group and  $\phi \in \text{Aut}(G)$ . Either  $\text{Fix}(\phi)$  is trivial, infinite cyclic or  $\text{Fix}(\phi)$  receives a graph of groups presentation  $\mathcal{G}$  with the following properties:*

- (i) *Edge groups are trivial or infinite cyclic.*
- (ii) *There is a bound  $k$ , depending only on  $G$  so that the underlying graph of  $\mathcal{G}$  has at most  $k$  edges.*
- (iii) *Vertex groups are trivial, infinite cyclic or the fixed subgroup of  $\phi$  restricted to a vertex stabilizer in some JSJ tree for  $G$ .*

We assume throughout this chapter that  $G$  is a torsion free, freely indecomposable hyperbolic group whose JSJ decomposition contains  $n$  edges. By Theorem 1.11 for every  $\phi \in \text{Aut}(G)$   $\text{Fix}(\phi)$  is trivial, infinite cyclic or  $\text{Per}(\phi)$  is a  $\mathbb{Z}$ -factor of  $G$ . Suppose  $\text{Per}(\phi)$  is a  $\mathbb{Z}$ -factor of  $G$ . By Theorem 1.8 there is a JSJ tree  $T$  for  $G$  which can be blown up and then down into a tree realizing  $\text{Per}(\phi)$  as a  $\mathbb{Z}$ -factor of  $G$ . We will show that the action of  $\text{Fix}(\phi)$  on  $T_{\text{Fix}(\phi)} = (T_{\text{Per}(\phi)})_{\text{Fix}(\phi)}$  induces a graph of groups presentation of  $\text{Fix}(\phi)$  which satisfies the conclusions of Lemma 2.

First some facts about the dynamics of the edge stabilizers on the Bass-Serre tree  $T$ .

**Definition 4.1:** A subgroup  $H \subset G$  is *malnormal* if  $gHg^{-1} \cap H \neq 1$  implies  $g \in H$ .

**Remark 4.2:** It is shown in [G] that elements in a torsion free hyperbolic group have infinite cyclic normalizers which are malnormal. This implies that if two elements (one of which is non trivial) commute or share a common power then the subgroup they generate is infinite cyclic.

**Lemma 4.3:** *Let  $\langle g \rangle$  be an infinite cyclic subgroup in a torsion free hyperbolic group and let  $\phi \in \text{Aut}(G)$ . If  $\phi(g^n) \in \langle g \rangle$  then  $\phi(g^n) = g^n$  and  $\phi(g) = g$ , or  $\phi(g^n) = g^{-n}$  and  $\phi(g) = g^{-1}$ .*

**Proof:** If  $\phi(g^n) \in \langle g \rangle$  then  $\phi(g)\phi(g^n)\phi(g)^{-1} \in \langle g \rangle$ . This implies  $\phi(g) \langle g \rangle \phi(g)^{-1}$  non trivially intersects  $\langle g \rangle$ . Malnormality implies that  $\langle \phi(g), g \rangle$  is infinite cyclic. If  $\phi(g)$  then is not  $g$  or  $g^{-1}$  we are able to construct, an ascending chain of infinite cyclic subgroups. Since this cannot occur in a hyperbolic group we are done. ♠



**Lemma 4.4:** *Let  $e_1, e_2$  and  $e_3$  be three consecutive edges in  $T$  with edge stabilizers  $\langle g_1 \rangle, \langle g_2 \rangle$  and  $\langle g_3 \rangle$ . One of the following possibilities holds:*

(i)  $\langle g_1 \rangle$  and  $\langle g_2 \rangle$  intersect trivially, or,

(ii) *There is a  $g \in G$  with both  $\langle g_1 \rangle$  and  $\langle g_2 \rangle$  contained in  $\langle g \rangle$ . If  $\langle g_2 \rangle$  is properly contained in  $\langle g \rangle$  then  $\langle g_3 \rangle$  is contained in  $\langle g_2 \rangle$ , or  $\langle g_3 \rangle$  and  $\langle g_2 \rangle$  intersect trivially.*

**Proof:** Suppose  $\langle g_1 \rangle$  and  $\langle g_2 \rangle$  intersect non trivially. If  $g_1^n \in \langle g_2 \rangle$  then  $g_1^n = g_2^m$ , and by the above remark,  $\langle g_1, g_2 \rangle$  is infinite cyclic, and hence there is a  $g \in G$  with  $\langle g_1 \rangle$  and  $\langle g_2 \rangle$  contained in  $\langle g \rangle$ . If  $\langle g_2 \rangle$  is properly contained in  $\langle g \rangle$  then  $g$  does not stabilize  $e_2$ . Now suppose  $\langle g \rangle$  is not  $\langle g_2 \rangle$ ,  $\langle g_2 \rangle$  intersects  $\langle g_3 \rangle$  non trivially and  $\langle g_3 \rangle$  is not contained in  $\langle g_2 \rangle$ . By the same argument there is an  $h \in G$  with  $\langle g_2 \rangle$  and  $\langle g_3 \rangle$  contained in  $\langle h \rangle$ . Now  $h$  does not stabilize  $e_2$  since  $\langle h \rangle$  properly contains  $\langle g_2 \rangle$ . Now  $h$  and  $g$  normalize  $\langle g_2 \rangle$  and by our above remark  $\langle g, h \rangle$  must be infinite cyclic. This is a contradiction because if an infinite cyclic group acts on a tree with some element acting elliptically ( $g_2$ ) then the whole infinite cyclic group fixes one point. But the infinite cyclic group generated by  $h$  and  $g$  contains  $g_1$  and  $g_3$ , which by assumptions stabilize different regions of  $T$ .  $\spadesuit$

Recall that by Proposition 1.4  $Aut(G) = Aut(G, T)$ . This allows us to create, from an automorphism  $\phi$  of  $G$ , a continuous map  $\phi_*$  of  $T$  to itself. The details of this construction along with some observations follow.

**Definition 4.7 :** Suppose  $v_1$  and  $v_2$  are distinct vertices of  $T$ . Denote by  $\overline{v_1 v_2}$  the unique geodesic in  $S$  bounded by  $v_1$  and  $v_2$ .

**Construction 4.8:** Let  $T$  be a JSJ tree for  $G$  and  $\phi$  an automorphism of  $G$ . Let  $F \subset T$  be a lift of the quotient graph  $T/G$ . For a vertex  $v \in F$  suppose  $V$  is the subgroup of  $G$  which stabilizes it. Since  $Aut(G) = Aut(G, T)$ ,  $\phi(V)$  stabilizes some vertex  $w \in T$ . Define  $\phi_*(v) = w$ . Define  $\phi_*$  for each vertex in  $F$  in this fashion and then extend over the rest of the vertices of  $T$   $G$ -equivariantly. We conclude by defining  $\phi_*$  on the edges of  $T$ . Suppose  $v_1$  and  $v_2$  are adjacent edges in  $T$ . Expand  $\phi_*$  to  $\overline{v_1 v_2}$  by linearly stretching  $\overline{v_1 v_2}$  over  $\overline{\phi(v_1)\phi(v_2)}$ .

**Definition 4.9:** We have that  $\phi_*$  is a continuous map of  $T$  to itself which maps vertices to vertices. If  $\overline{v_1 v_2} \subset \overline{\phi_*(v_1)\phi_*(v_2)}$  with orientations agreeing say  $\overline{v_1 v_2}$  is an *expanding arc*. We note that  $\overline{v_1 v_2}$  may equal  $\overline{\phi(v_1)\phi(v_2)}$  and still be an expanding arc.

**Lemma 4.10:** *Suppose each edge in  $T$  is declared to have length one. If  $\overline{v_1v_2}$  is an expanding arc then  $\overline{v_1v_2}$  contains a length one expanding arc.*

**Proof:** We induct on the length of  $\overline{v_1v_2}$ . If the length of  $\overline{v_1v_2}$  is one then we are done. So assume every expanding arc with length less than  $n$  contains a length one expanding arc. Suppose  $\overline{v_1v_2}$  has length  $n$ . Choose a vertex  $w \in \overline{v_1v_2}$  not equal to  $v_1$  or  $v_2$ . Let  $v$  be the point on  $\overline{v_1v_2}$  which is closest to  $\phi_*(w)$ . Without loss of generality we can assume that  $v$  either, is  $v_1$  or  $w$ , or separates  $w$  from  $v_1$  on  $\overline{v_1v_2}$ . It is then the case that  $\overline{w, v_2}$  is an expanding arc with with length less than  $n$ , and by our induction hypothesis we are done. ♠

**Lemma 4.11:** *If  $\overline{v_1v_2}$  is an expanding arc then*

$$\phi(Stab(\overline{v_1v_2})) \subset Stab(\overline{v_1v_2}).$$

**Proof:** If  $\overline{v_1v_2} \subset \overline{\phi_*(v_1)\phi_*(v_2)}$  then  $Stab(\overline{\phi_*(v_1)\phi_*(v_2)}) \subset Stab(\overline{v_1v_2})$ . We know that  $Stab(\overline{\phi_*(v_1)\phi_*(v_2)}) = Stab(\phi_*(v_1)) \cap Stab(\phi_*(v_2))$  which contains  $\phi(Stab(v_1)) \cap \phi(Stab(v_2))$  which is equal to  $\phi(Stab(v_1v_2))$ . ♠

**Remark 4.12:** It is shown in [CM] that there are four possible types of non trivial group actions on a tree. They are linear, dihedral, parabolic or hyperbolic. Dihedral actions imply the existance of torsion elements, while parabolic actions on a tree with infinite cyclic edge stabilizers implies the group is solvable. Recall that in a hyperbolic group a solvable subgroup is virtually infinite cyclic [G]. Hence subgroups of a one ended torsion free hyperbolic group admit only linear or hyperbolic actions on JSJ trees.

**Lemma 4.13:** *If  $\phi \in Aut(G)$  then one of the following holds:*

- (i) *The action of  $Fix(\phi)$  on  $T$  is trivial or linear, or,*
- (ii) *The action of  $Fix(\phi)$  on  $T_{Fix(\phi)}$  is hyperbolic, and, there is a length one expanding arc  $e \in T$ .*

**Proof:** By the above remark we assume the action of  $Fix(\phi)$  on  $T_{Fix(\phi)}$  is hyperbolic and we show there is an expanding edge  $e \in T$ . A hyperbolic action of a group on a tree is characterized by the existence of two elements acting hyperbolically on the tree with disjoint axis [CM]. Let  $g, h \in Fix(\phi)$  be these hyperbolic elements with disjoint axis  $A_g$  and  $A_h$ . Let  $\overline{a_g a_h}$  be the geodesic connecting  $A_g$  and  $A_h$ .

Following Construction 4.8 we can construct  $\phi_*$  from  $\phi$ . If  $v_g$  is a vertex on  $A_g$ , since  $\phi(g^n) = g^n$  and  $\phi_*$  was constructed to be  $G$  equivariant, we have  $\phi_*(g^n(v_g)) =$

$g^n(\phi_*(v_g))$ . By choosing an appropriate  $n$  we may assume  $g^n(v_g) \in \overline{a_g\phi_*(g^n(v_g))}$ . Let  $v = g^n(v_g)$ . Likewise on  $A_h$  we find a  $w$  satisfying similar conditions. It is then the case that  $\overline{vw}$  is an expanding arc, and by Lemma 4.10 we are done. ♠

**Lemma 4.14:** *Suppose  $\phi \in \text{Aut}(G)$  and that the action of  $\text{Fix}(\phi)$  on  $T_{\text{Fix}(\phi)}$  is hyperbolic. Let  $f$  be an edge in  $T_{\text{Fix}(\phi)}/\text{Fix}(\phi)$ ,  $\tilde{f}$  a lift of  $f$  in  $T_{\text{Fix}(\phi)}$ , and  $\text{Stab}(\tilde{f}) = \langle g \rangle$ . It is the case that  $\phi(g) = g$  or  $\phi(g) = g^{-1}$ .*

**Proof:** Following Construction 4.8 we obtain  $\phi_*$  from  $\phi$ . By Lemma 4.13, under  $\phi_*$ ,  $T$  contains and length one expanding edge  $e$ . A theorem of Bass [B] tells us that the minimal tree in a group action is the union of the axis of hyperbolicly acting elements. Hence there is a  $h \in \text{Fix}(\phi)$  acting hyperbolicly on  $T_{\text{Fix}(\phi)}$  with axis containing  $\tilde{f}$ . Since  $\phi_*$  was constructed to be  $G$  equivariant  $h^n(e)$  are expanding edges for all  $n$ . Without loss of generality we may assume that there are integers  $n$  and  $m$  such that  $\tilde{f}$  is contained in the geodesic connecting  $h^n(e)$  and  $h^m(e)$ . Let  $E$  be the smallest arc containing  $h^n(e)$  and  $h^m(e)$ . Since  $h^n(e)$  and  $h^m(e)$  are length one expanding arcs,  $E$  is also an expanding arc.

Suppose there are no two adjacent edges on  $E$  with trivially intersecting edge stabilizers. If this were the case then by Lemma 4.4 there would be an element  $k \in G$  which stabilizes all of  $E$ . Since  $E$  is an expanding arc it contains an expanding edge which has a stabilizer containing  $k$ . By Lemma's 4.3 and 4.11  $\phi(k) = k^{\pm 1}$ . But  $k$  also stabilizes  $\tilde{f}$ . Hence  $k = g^n$  for some  $n$ , and by Lemma 4.3  $\phi(g) = g^{\pm 1}$ .

We will show that if  $e_1$  and  $e_2$  are adjacent edges on  $E$  whose stabilizers intersect trivially, then  $\phi_*$  fixes  $e_1 \cap e_2$ . If this is the case then we can pick a sub arc of  $E$ , which contains  $\tilde{f}$ , is expanding, and contains no two adjacent edges with trivially intersecting edge stabilizers. We are then done by the preceding paragraph.

Consider the sub arcs  $E_i$  of  $E$  determined by those vertices of  $E$  which lie between adjacent edges whose stabilizers intersect trivially. Again by Lemma 4.3 for each  $E_i$  there is a  $g_i \in G$  which stabilizes all of  $E_i$ . Further, if  $i \neq j$  then  $\langle g_i \rangle \cap \langle g_j \rangle$  is the trivial group. This implies that if  $i \neq j$   $\phi_*(E_i) \cap \phi_*(E_j)$  is at most a point. Also  $\phi_*(E_i)$  can't intersect more than one  $E_j$ . These observations along with the fact that  $E$  is an expanding arc implies that  $\phi_*$  must fix the endpoints of each  $E_i$ . ♠

We are now ready for the proof of Lemma 2.

**Proof of Lemma 2:** Choose an automorphism  $\phi$  of  $G$ . Suppose  $\text{Fix}(\phi)$  is not trivial or infinite cyclic. Consider the action of  $\text{Fix}(\phi)$  on  $T_{\text{Fix}(\phi)} = (T_{\text{Per}(\phi)})_{\text{Fix}(\phi)}$ , where  $T$  is a JSJ tree for  $G$  promised by Theorem 1.8. Clearly we have (i) since  $T$  is a tree for  $G$  which has infinite cyclic edge stabilizers.

Suppose a JSJ decomposition for  $G$  has  $n$  edge groups. Further suppose that  $m$  is the maximum index of all edge groups in their respective normalizers. It is shown

in [S] that both  $n$  and  $m$  are invariants of  $G$ , and hence so is  $nm$ . We claim that over all automorphisms  $\phi$ ,  $T_{Fix(\phi)}/Fix(\phi)$  has at most  $2nm$  edges.

Suppose  $T_{Fix(\phi)}/Fix(\phi)$  has greater than  $2nm$  edges. We claim it is then the case that there are  $2m + 1$  edges  $e_0, e_1, \dots, e_{2m}$  in  $T_{Fix(\phi)}/Fix(\phi)$ , with lifts  $\tilde{e}_i$  for  $i = 0, 1, 2, \dots, 2m$  in  $T_{Fix(\phi)}$ , which are in the same orbit under  $Per(\phi)$ . Since there are only  $n$   $G$  orbits of edges clearly there are  $2m + 1$  lifts which are  $G$  equivalent. Since  $T_{Fix(\phi)} = (T_{Per(\phi)})_{Fix(\phi)}$  all of the lifts are in  $T_{Per(\phi)}$ . Since  $Per(\phi)$  is a  $\mathbb{Z}$ -factor of  $G$ , any edges in  $T_{Per(\phi)}$  which are  $G$  equivalent are also  $Per(\phi)$  equivalent. Hence we have our claim.

We force a contradiction by showing two of these edges are in the same orbit under  $Fix(\phi)$ . Suppose  $\gamma$  stabilizes  $e_0$ . By the last paragraph there exist  $g_i$  in  $Per(\phi)$  with  $g_i\gamma g_i^{-1}$  stabilizing  $e_i$  for  $i = 1, 2, \dots, 2m$ . By Lemma 4.14 we know that  $\phi(\gamma) = \gamma$  or  $\phi(\gamma) = \gamma^{-1}$ , and for each  $i$  we have  $\phi(g_i\gamma g_i^{-1}) = g_i\gamma g_i^{-1}$  or  $\phi(g_i\gamma g_i^{-1}) = g_i\gamma^{-1}g_i^{-1}$ .

If  $\phi(\gamma) = \gamma$  then  $\phi(g_i\gamma g_i^{-1}) = \phi(g_i)\gamma\phi(g_i^{-1})$ , which we claim must equal  $g_i\gamma g_i^{-1}$ . If  $\phi(g_i\gamma g_i^{-1}) = g_i\gamma^{-1}g_i^{-1}$  then  $g_i^{-1}\phi(g_i)$  is an element which conjugates  $\gamma$  to its inverse, something that can't happen in a torsion free hyperbolic group. Since  $\phi(g_i)\gamma\phi(g_i^{-1}) = g_i\gamma g_i^{-1}$ ,  $g_i^{-1}\phi(g_i)$  normalizes  $\gamma$  and hence  $\phi(g_i) = g_i\bar{\gamma}^{r_i}$ , where  $\bar{\gamma}$  generates the normalizer of  $\gamma$  and  $r_i$  is some integer. Since  $g_i \in Per(\phi)$   $r_i$  must be zero and  $\phi$  must fix each  $g_i$ . This implies all of the edges are in fact in the same  $Fix(\phi)$  orbit, contradicting the fact that they are lifts of different edges in  $T_{Fix(\phi)}/Fix(\phi)$ .

If  $\phi(\gamma) = \gamma^{-1}$  by the same reasoning as above we have  $\phi(g_i\gamma g_i^{-1}) = g_i\gamma^{-1}g_i^{-1}$  and  $\phi(g_i) = g_i\bar{\gamma}^{r_i}$ . Recall that  $m$  is the maximum index of the edge groups in their respective normalizers. Hence we have  $\bar{\gamma}^{m\gamma} = \gamma$  for some  $m_\gamma$  whose absolute value is less than or equal to  $m$ . Notice that  $\phi(g_i\gamma^r) = g_i\bar{\gamma}^{r_i}\gamma^{-r} = g_i\gamma^r\bar{\gamma}^{r_i}\gamma^{-2r} = (g_i\gamma^r)\bar{\gamma}^{r_i-2m_\gamma r}$ . Choosing  $r$  appropriately (a different one for each  $g_i$ ) we may assume  $0 \leq r_i - 2m_\gamma r \leq |2m_\gamma|$ . Replace each  $g_i$  with  $g_i\gamma^r$  (where the  $r$  is chosen for each  $i$  to insure that the last inequality holds) and still call it  $g_i$ . This new  $g_i$  still stabilizes  $e_i$ . Since  $|2m_\gamma| \leq 2m$  and there exist  $g_i$  and  $g_j$ , with  $i$  not equal to  $j$  with (using the new values)  $r_i = r_j$ . It is then the case that  $g_i g_j^{-1}$  is fixed by  $\phi$  and takes  $e_j$  to  $e_i$ . Contradicting the fact that the  $e_i$  are lifts of different edges in  $T_{Fix(\phi)}/Fix(\phi)$ . This completes the proof of (ii).

Suppose  $H \subset Fix(\phi)$  is not contained in an infinite cyclic group and stabilizes a vertex  $v \in T$ . It's then the case that  $\phi_*(v) = v$ . This implies  $\phi$  restricts to an automorphism of the stabilizer of  $v$  in  $G$ . This shows (iii). ♠

## CHAPTER 5

In this chapter we prove Lemma 3. We assume throughout this chapter that  $G$  is a torsion free, freely indecomposable hyperbolic group. Before we are ready for the proof of Lemma 3 we need a few observations pertaining to a certain short exact sequence.

Since a torsion free hyperbolic group which is not infinite cyclic has no center, it is the case that the identification of it with its groups of inner automorphisms is an isomorphism. Let  $V$  be a JSJ vertex group for  $G$  which is not quadratically hanging and not infinite cyclic. Since every  $\phi \in \text{Inn}(V)$  can be extended to an automorphism of  $G$ , by identifying  $V$  with  $\text{Inn}(V)$  we obtain the following short exact sequence:

$$1 \rightarrow V \rightarrow \text{Aut}_G(V) \rightarrow K \rightarrow 1.$$

Here  $K \subset \text{Out}(V)$  and by Proposition 1.13 is finite. For  $\phi \in \text{Aut}_G(V)$  its action as an automorphism of  $V$  is realized by its action as conjugation in  $\text{Aut}_G(V)$  restricted to  $\text{Inn}(V)$ . That is to say, if  $i$  is the identification of  $V$  with  $\text{Inn}(V)$  and  $g \in V$  then  $\phi(g) = i^{-1}(\phi i(g)\phi^{-1})$ . Notice that  $\phi i(g)\phi^{-1} \in \text{Inn}(V)$  since  $\text{Inn}(V)$  is normal in  $\text{Aut}_G(V)$ .

If  $\phi \in \text{Aut}_G(V)$  denote by  $\text{Fix}_V(\phi)$  its fixed subgroup in  $V$ . Denote by  $i_\phi$  the inner automorphism of  $\text{Aut}_G(V)$  determined by  $\phi$ . We are concerned with the different possibilities, over  $\text{Aut}_G(V)$  for  $\text{Fix}_{\text{Aut}_G(V)}(i_\phi) \cap \text{Inn}(V)$ . The following lemma will be useful:

**Lemma 5.1:** *Let  $\rho$  and  $\phi$  be elements of  $\text{Aut}_G(V)$ . It is the case that  $\rho(\text{Fix}_{\text{Aut}_G(V)}(i_\phi))\rho^{-1} = \text{Fix}_{\text{Aut}_G(V)}(i_{\rho\phi\rho^{-1}})$ .*

**Proof:** This lemma is the fact that the conjugate of the centralizer is the centralizer of the conjugate. First we show containment from left to right. Let  $h \in \text{Fix}_{\text{Aut}_G(V)}(i_\phi)$  and consider  $i_{\rho\phi\rho^{-1}}(\rho h \rho^{-1})$ . This is equal to  $\rho\phi\rho^{-1}\rho h \rho^{-1}\rho\phi\rho^{-1}$ . Canceling the  $\rho^{-1}\rho$  and remembering  $h \in \text{Fix}_{\text{Aut}_G(V)}(i_\phi)$  we see we have  $\rho h \rho^{-1}$ .

For the other direction we show that for  $h \in \text{Fix}_{\text{Aut}_G(V)}(i_{\rho\phi\rho^{-1}})$ ,  $\rho^{-1}h\rho \in \text{Fix}_{\text{Aut}_G(V)}(i_\phi)$ . If  $h \in \text{Fix}_{\text{Aut}_G(V)}(i_{\rho\phi\rho^{-1}})$  then  $\rho\phi\rho^{-1}h\rho\phi\rho^{-1} = h$ , which implies  $\phi\rho^{-1}h\rho\phi = \rho^{-1}h\rho$ . The left side of the last equality is  $i_\phi(\rho^{-1}h\rho)$  and is hence fixed by  $i_\phi$ , as was to be shown. ♠

Recall the definitions of  $\mathcal{U}$  and  $\mathcal{V}$  in section 2.

**Lemma 3:** *It is the case that  $|\mathcal{V}/\sim|$  is finite.*

**Proof:** By Lemma 2 (iii) vertex groups in  $\mathcal{U}$  are infinite cyclic or arise as the restriction of automorphisms of  $G$  to vertex stabilizers in some JSJ tree.

Suppose  $V$  is a quadratically hanging vertex group. By Theorem 1.11 and [J] fixed subgroups correspond to the fundamental groups of essential sub surfaces. Homological considerations imply that if the genus of the underlying surface is  $k$  then such groups are free with rank at most  $2k$ . Hence up to isomorphism there are only finitely many.

If  $V$  is not a quadratically hanging subgroup consider the short exact sequence described above. Since  $V$  is hyperbolic and is finite index in  $Aut_G(V)$ ,  $Aut_G(V)$  is hyperbolic.

If  $\phi \in Aut_G(V)$  is not a torsion element then a theorem of Gromov [G] states that  $Fix_{Aut_G(V)}(i_\phi)$  is virtually infinite cyclic. The intersection of a virtually infinite cyclic group and the torsion free group  $Inn(V)$  is contained in an infinite cyclic group.

If  $\phi \in Aut_G(V)$  is torsion then another theorem of Gromov states that there are only a finite number of conjugacy classes of such elements. Hence it suffice to prove our claim over one such conjugacy class. By Lemma 5.1 each conjugacy class of  $Aut_G(V)$  determines, by acting as inner automorphisms of  $Aut_G(V)$ , fixed subgroups which are conjugate in  $Aut_G(V)$ . We are concerned with the intersection of these subgroups with  $Inn(V)$ . Since  $Inn(V)$  is normal in  $Aut_G(V)$  the intersection of each these fixed subgroups with  $Inn(V)$  are isomorphic.

Hence up to isomorphism (not respecting conjugacy classes of possible edge groups) there are only finitely many possibilities for vertex groups.

To finish the proof let  $V_1, V_2, \dots, V_n$  be the JSJ vertex groups of  $G$  stabilizing the vertices  $v_1, v_2, \dots, v_n$  of  $T$ . Suppose  $(H, L) \in \mathcal{V}$  with  $\phi$  being an automorphism of  $G$  which produces this pair. If  $H$  stabilizes the vertex  $v \in G$  then there is a  $g \in G$  with  $g(v) = v_i$  for some  $i$ . It is then the case that the automorphism  $i_g \phi i_g^{-1}$  produces a pair  $(H_*, L_*) \in \mathcal{V}$  with  $H_*$  stabilizing  $v_i$  and  $(H, L) \sim (H_*, L_*)$ . Hence we only need to look at the pairs in  $\mathcal{V}$  where the fixed vertex group stabilizes one of the  $v_i$ . Since there are only finitely many such  $v_i$  we show our claim for just one such vertex.

Suppose  $V_i$  is not a quadratic hanging subgroup. We induct on the number of conjugacy classes in  $L$ . We note that if there are none we are done by the first paragraphs of this proof. Let  $\langle g_1 \rangle, \langle g_2 \rangle, \dots, \langle g_m \rangle$  be the JSJ edge groups incident to  $V_i$ . So suppose we are looking at pairs  $(H, L)$  with  $L$  containing one conjugacy class of an element in  $H$ . By constructing an new automorphism, as in the last paragraph, we may assume that we are looking at all pairs  $(H, L)$  with  $L$  containing some  $g_i$ . It suffices then to show our claim for all pairs  $(H, L)$  with  $L$  containing, say,  $g_i$ . The set of  $\phi \in Aut_G(V_i)$  fixing  $g_i$  clearly form a subgroup  $N \subset Aut_G(V_i)$ . In fact this subgroup is virtually infinite cyclic but we will not need this. If  $\phi \in N$  and is non torsion then, as was seen above,  $Fix_{V_i}(\phi)$  is an infinite cyclic groups containing  $\langle g_i \rangle$ . Since normalizers are infinite cyclic there are only

finitely many such groups in a hyperbolic group. It is the case that there are finitely many conjugacy classes of torsion elements in  $N$ . Suppose  $\phi$  is a torsion element of  $N$  and that  $\rho \in N$ . If  $\langle g_i \rangle \in \text{Fix}_{V_i}(\phi)$  and  $\langle g_i \rangle \in \text{Fix}_{V_i}(\rho\phi\rho^{-1})$  then by Lemma 5.1 the automorphism  $i_\rho$  of  $\text{Aut}_G(V_i)$  provides an abstract isomorphism of  $\text{Fix}_{V_i}(\phi)$  to  $\text{Fix}_{V_i}(\rho\phi\rho^{-1})$  which fixes  $\langle g_i \rangle$ . Hence the two pairs of  $\mathcal{V}$  determined by  $\phi$  and  $\rho\phi\rho^{-1}$  are equivalent. If  $L$  contains  $n$  conjugacy classes then we again construct a new automorphism so that  $L$  contains  $g_i$ . By the case  $n = 1$  there are only finitely many such pairs (up to our equivalence) which contain  $g_i$  and hence still only finitely many if we enlarge  $L$ . This concludes our induction and the case that  $V_i$  is not a quadratic hanging subgroup.

If  $v_i$  is stabilized, in  $G$  by a quadratically hanging subgroup then by Theorem 1.11 the subgroup where an automorphism is periodic (if the fixed subgroup is not contained in an infinite cyclic group) is realized by the fundamental group of an essential sub surface. By [J] the fixed subgroup of a periodic automorphism is infinite cyclic, or realized by the fundamental group of an essential sub surface. Which implies the fix subgroup of an arbitrary automorphism is fundamental group of an essential sub surface. If the fixed subgroup of some automorphism has a subgroup which stabilizes such a vertex along with some adjacent edge groups then the underlying fixed subgroup corresponds to an essential subsurface which contains the boundary curves associated to those edge groups. It is the case that there are finitely many, up to homeomorphism fixing those boundary curves, such sub surfaces. These homeomorphisms induce isomorphisms and we are done. ♠

**Corollary:** *Suppose  $G$  is a torsion free hyperbolic group which does not split over the trivial or infinite cyclic group. There are only finitely many, up to conjugation, fixed subgroups which are not isomorphic to the infinite cyclic group.*

**Proof:** Again consider the above short exact sequence. If an automorphism is non torsion (such as conjugation) its fixed subgroup is trivial or infinite cyclic. By lemma 5.1 there are finitely many fixed subgroup coming from torsion elements, where conjugation is in  $\text{Aut}(G)$ . Since  $G$  is finite index in  $\text{Aut}(G)$  we see there are still only finitely many such fixed subgroups up to conjugation in  $G$ . ♠